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TRANSMITTAL **FORM** 

(to be used for all correspondence after initial filing)

10/538,160 Application Number June 8, 2005 Filing Date First Named Inventor Danny Grant Art Unit Not Yet Assigned **Examiner Name** Not Yet Assigned

Total Number of Pages in This Submission Attorney Docket Number IMMR-0152A (034701-510) ENCLOSURES (check all that apply) After Allowance Communication to TC Fee Transmittal Form ☐ Drawing(s) Appeal Communication to Board Fee Attached Licensing-related Papers of Appeals and Interferences Petition Appeal Communication to TC Amen Amen Amen t / Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a After Final Proprietary Information Provisional Application Power of Attorney, Revocation Affidavits/declaration(s) Status Letter Change of Correspondence Address Terminal Disclaimer Other Enclosure(s) Extension of Time Request (please identify below): Thirty-seven (37) References Cited; Request for Refund Express Abandonment Request Postcard. CD. Number of CD(s) Information Disclosure Statement ☐ Landscape Table on CD Certified Copy of Priority Remarks Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm THELEN REID & PRIEST LLP. Signature Printed Name David B. Ritchie Reg. Date 27/2006 31.562

## CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450,

ilexariuria, VA 22313-1450	on the date shown below.		
Signature	Genevallallo		
Typed or printed name	Seneva Walls	Date	3-27-06

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Docket No.: IMMR-0152A

(034701-510)

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

LICANT:

Danny Grant, et al.

SERIAL NO.:

10/538,160

FILING DATE:

June 8, 2005

TITLE:

Methods And Systems For Providing Haptic Messaging To Handheld

Communication Devices

**EXAMINER:** 

Not Yet Assigned

ART UNIT:

Not Yet Assigned

**CONFIRMATION NO.: 3287** 

**CERTIFICATE OF MAILING** 

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**COMMISSIONER FOR PATENTS** 

P.O. Box 1450

**ALEXANDRIA, VA 22313-1450** 

### INFORMATION DISCLOSURE STATEMENT

In compliance with the duty of disclosure under 37 C.F.R. §§ 1.56, 1.97 and 1.98, applicant hereby submits this Information Disclosure Statement (IDS) including a Form PTO-1449 containing a list of references which may be material to the examination of the above-referenced application. This submission is not to be construed as a representation that a prior art search has been conducted, that additional information material to the examination of this application does not exist, or that any one or more of the listed references constitutes prior art.

The Examiner is urged to carefully consider this Information Disclosure Statement and all the listed references, and to indicate same by initialing the appropriate portion(s) of the Form PTO-1449 and forwarding an initialed copy to applicant.

Docket No.: IMMR-0152A

(034701-510)

1

This statement is filed pursuant to (CHECK ONE BOX):

# 37 C.F.R. § 1.97(b).

This information disclosure statement is filed either:

- (1) within three months of the filing date of a national application other than a continued prosecution application under §1.53(d);
- (2) within three months of the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application;
  - (3) before the mailing date of a first office action on the merits; or
- (4) before the mailing of a first office action after the filing of a Request for Continued Examination under 37 C.F.R. §1.114, whichever event occurs last.

Accordingly, this information disclosure statement requires no fee and no certification.

# 37 C.F.R. § 1.97(c).

This information disclosure statement is filed after the period specified in 37 C.F.R. § 1.97(b), but before the mailing date of any of the following:

- (1) a final action under 37 C.F.R. § 1.113;
- (2) a notice of allowance under 37 C.F.R. § 1.311; or
- (3) an action that otherwise closes prosecution in the application.

#### Accordingly, this information disclosure statement requires either:

- (1) the fee specified in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under 37 C.F.R. § 1.97(c); or
  - (2) a certification according to 37 C.F.R. § 1.97 (e)(1) or (2).

### 37 C.F.R. § 1.97(d).

This information disclosure statement is filed after the period specified in 37 C.F.R. § 1.97 (c).

Accordingly, this information disclosure statement requires:

- (1) a certification in accordance with 37 C.F.R. § 1.97(e); and
- (2) the fee specified in 37 C.F.R. § 1.17 (p) to consider an information disclosure statement under 37 C.F.R. § 1.97(d).

If this statement crosses in the mail with an office action, or is otherwise not in the indicated category of 37 C.F.R. §1.97, it is respectfully requested that this statement be treated in the next appropriate category and made of record. To the extent required, please treat this paper as a conditional petition for acceptance of the information disclosure statement.

Docket No.: IMMR-0152A

(034701-510)

II

Fees Due (CH	IECK ONE BOX):
$\boxtimes$	No fee is due.
	The fee specified in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under 37 C.F.R. § 1.97(c) or 37 C.F.R. § 1.97(d) is enclosed (\$180).
	III
Certification (	CHECK ONE BOX):
$\boxtimes$	No certification is necessary.
	Pursuant to 37 C.F.R. § 1.97(e)(1), the undersigned hereby certifies:  That each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.
	Pursuant to 37 C.F.R. § 1.97(e)(2), the undersigned hereby certifies:  No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this information disclosure statement.
	IV
Please	charge any additional required fee or credit any overpayment to our deposit
account numb	er 50-1698.
	Respectfully submitted, THELEN REID & PRIEST LLP
Dated: March	27 , 2006  David B. Ritchie Reg. No. 31,562

THELEN REID & PRIEST LLP P.O. Box 640640 San Jose, CA 95164-0640

Tel: (408) 292-5800 Fax: (408) 287-8040

Form P7 (Rev. 2-	32)	U.S. Department o Patent and Tradema	rk Office \.	APR 0 6 2006	IMMR- (034701	-510)	Serial 10/53		,0 1 01 1
	Info	rmation Disclosure S	tatament hv	A RAPE	Applica	nt: Grant, et al.			
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				U.S. Patent Documer	ıts				
Init.		Document No.	Date	Name		Class	Subclas		ng Date
	A	5,734,373	3-31-98	Rosenberg, et al.		345	161		1-95
	В	5,907,615	5-25-99	Kaschke		379	433		2-92
	С	5,917,906	6-29-99	Thornton		379	433		1-97 
!	D	6,018,711	1-25-00	French-St. George,	et al.	704	275		1-98
-	Е	6,028,593	2-22-00	Rosenberg, et al.		345	156	6-1	4-96
	F	6,071,194	6-6-00	Sanderson, et al.		463	37	6-19	9-97
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	M	6,429,846	8-6-02	Rosenberg, et al.	··· <u>·</u>	345	156	1-19	9-00
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	0	6,441,599	8-27-02	Kropidlowski		324	67	1-23	8-00
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Init.		Document No.	Date	Country		Class	Subclass	Yes	No
	W	00/41788	7-20-00	WO					X
	X	02/03172	1-10-02	WO					X
	Y	02/059869	8-1-02	WO					X
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	KK	SEARCH REPORT, International Searching Authority, PCT/US	03/38868, September 27, 2004.		
Examiner			Date Considered		

if not in conformance and not considered. Include a copy of this form with the next communication to applicant.